

National Malaria Social and Behaviour Change Strategy: How to Use the Template

This document provides guidance and examples for using the accompanying *National Malaria Social and Behaviour Change Strategy Template* and *Malaria Social and Behaviour Change Strategy Development Tools*. Both this guidance and the template are companions to the *Strategic Framework for Malaria Social and Behaviour Change Communication 2018-2030*, and products of the Social and Behaviour Change Working Group (SBC WG) of the RBM Partnership to End Malaria. This development of this guidance was made possible by the U.S. Agency for International Development (USAID) and U.S. President's Malaria Initiative under the terms of Cooperative Agreement No. AID-OAA-A-17-00017 of the Breakthrough ACTION project.

## Rationale for a national malaria social and behaviour change strategy

National malaria social and behaviour change (SBC) or social and behaviour change communication (SBCC) strategies are an important means of describing the priorities and focus of a country's malaria behaviour change efforts. These strategies are usually written for a period of five years, typically matching the duration of the national malaria strategic plan. The SBC strategy indicates how partners will work to influence specific behaviours and improve malaria outcomes. Malaria SBC strategies should include a country's definitive situation analysis (synthesis of research, program reports, and survey data), and provide clear and concise direction on priority audiences and how to influence their behaviour. A malaria SBC strategy can also articulate the role of national SBC coordination committees or SBC technical working groups to guide the country's SBC priorities and activities. For example, through the SBC strategy, a coordination committee or technical working group can guide the development of national-level communication campaigns, SBC activities supporting mass or routine ITN distributions, seasonal chemoprevention campaigns, or indoor residual spraying campaigns. The SBC strategy can also articulate the SBC focus for Global Fund concept notes and provide a set of directives for how SBC partners should design, implement, monitor, and evaluate their activities.

While national malaria SBC strategies are written to support goals and objectives outlined in a country's malaria strategic plan, a strong SBC strategy builds off of the national malaria strategic plan without duplication. The national malaria strategic plan's purpose is to identify which behaviours must change. The focus of a well-articulated malaria SBC strategy, on the other hand, is to describe how to change those behaviours. Data should describe not only demographic factors, but also psycho-social determinants of behaviour and structural factors. Common sources of data include: Demographic Health Surveys (DHS), Malaria Indicator Surveys (MIS), Multiple Indicator Surveys (MICS), Malaria Behaviour Surveys (MBS), knowledge, attitude, and practice surveys (KAP), health facility surveys, ethnographic research, routine data, and other types of formative research.

Before you begin using the template, make sure to:

1. Consult key resources, such as:

RBM Strategic Framework for Malaria SBCC

<u>2018-2030</u>

Malaria SBCC Indicator Reference Guide

**Developing Monitoring and Evaluation Plans** 

for Malaria SBC Programs: Step-by-Step

Guide

How to Do a Situation Analysis

How to Do an Audience Analysis

How to Develop a Channel Mix Plan

How to Develop a Mission Statement

**How to Design SBCC Messages** 

How to Develop a Creative Concept

How to Develop Monitoring Indicators

How to Develop a Monitoring and Evaluation

Plan

SBCC for Malaria in Pregnancy: Strategy

**Development Guidance** 

SBC for ITNs Toolkit

**ITN Access & Use Report** 

**SBC Considerations for Areas Transitioning** 

from High to Moderate to Low, Very low and

Zero Malaria Transmission

- 2. Gather your country's data on the malaria situation and behaviours. Where available, gather appropriate data from NMCP and partner work plans as well as PMI Malaria Operational Plans and Global Fund grants.
- 3. Identify a small working group of stakeholders who will develop the strategy (see guidance):

- Malaria SBC working groups should consist of representatives from NMCP behaviour change, case management, malaria in pregnancy, vector control units or divisions, teams working on malaria elimination, as well as SBC donors and implementing partners in order to ensure collective ownership and use of the strategy. It is also crucial to include national health promotion officials and ensure that maternal and reproductive health personnel are present. This inclusive group should be convened to discuss and decide on the overall vision and focus of the strategy, as well as to review existing data and use it to prioritize behaviours and the means of changing them.
- A smaller sub-group of technical experts should meet afterwards to write the strategy itself (see guidance). The larger working group can then be reconvened to review and validate the strategy.

## How is the template structured?

Most malaria SBC strategies include a foreword, introduction, intervention-specific plans and a monitoring and evaluation section. Intervention-specific plans make up the bulk of the SBC strategy and are often grouped as follows:

- Insecticide-treated nets
- Malaria in pregnancy
- Case management
- Indoor residual spraying (where appropriate)
- Seasonal chemoprevention (where appropriate)

Because communication-based activities play a large role in nearly any SBC strategy, communication approaches make up the bulk of many SBC strategies. This template will focus on communication plans for each malaria intervention area; however, programs can broaden these to include non-communication approaches and activities, as well.

The <u>RBM Strategic Framework for Malaria SBCC 2018-2030</u> suggests each of these intervention-specific plans include the following sections:

- 1. Situation and behavioural analyses
- 2. Audience analysis
- 3. Strategic communication approaches
- 4. Behaviour-specific communication plans
- 5. SBC plans for sub-national groups in low malaria transmission areas
- **1. Situation and behavioural analyses**: Malaria SBC strategies should include a situation analysis for each intervention. These situation analyses should include quantitative and qualitative data that describes who is affected and how severely (to what extent) by which problems.

  The description of the underlying drivers behind specific behaviours is articulated in a behavioural analysis. The behavioural analysis summarizes any data explaining why certain audiences or target.

analysis. The behavioural analysis summarizes any data explaining why certain audiences or target groups choose to practice, or refuse to practice, healthy behaviours. As determinants of behaviour may be structural (access to commodities or health services), cognitive, social, or emotional, it is important to collect data to better understand what drives specific audiences to behave as they do. Each

behavioural analysis should describe these determinants in context. For example, global data shows that a structural factor, access to an ITN, is the most important determinant of whether or not people use one. Therefore, no description of ITN use is complete without contextual information such as what proportion of people have access to an ITN. Describing behaviours within the broader context of access ensures a more targeted approach to addressing specific problems. When data on behavioural determinants is lacking, these gaps should be noted in the behavioural analysis narrative to inform future research efforts. Avoid using anecdotal information to fill data gaps; anecdotal information is not necessarily based on a reliable or systematic body of evidence, and therefore does not have a place in this strategy.

**2. Audience analysis**: Each intervention section should contain some form of audience analysis in order to identify and understand priority and influencing groups. This analysis should describe primary, secondary, and tertiary audience characteristics as they relate to each behaviour. Both sociodemographic (sex, age, language, etc.) and psycho-social characteristics (personality, attitudes, beliefs, values, emotions, etc.) should be described, as well as any available data on media consumption habits, message exposure, and message recall among specific sub-groups. Include pertinent data related to how gender impacts the ability to change behaviour.

# Countries that have conducted an MBS:

Summarize data from the appropriate intervention section of the MBS report to complete situation, behavioural and audience analyses and to inform each communication plan

**3. Strategic approaches**: Strategic approaches should describe how to best reach and influence each audience. Following the socioecological model<sup>1</sup>, use the audience analysis to specify how to reach and influence each audience at the structural, social, and individual level. Influencing structural, social, and individual change may happen as the result of both communication and noncommunication-based approaches. The following guidance focuses on communication-based approaches.

Data cited in the audience analyses above on media consumption habits, message exposure, and message recall among specific subgroups of people will help decide which mix of strategic

communication approaches (structural, social, individual) and corresponding channels for those approaches (television, radio, household visits, community dialogues, etc.) to prioritize for certain interventions, at certain times, in certain places, and among specific populations. In addition, the selection of strategic approaches may be influenced by the behavioural determinants that need to change (i.e., changing social and gender norms might take a different approach than changing knowledge).

A malaria SBC strategy should list the strategic mix of approaches and channels to prioritize to reach and influence each target audience in this section of the strategy (ex: reach pregnant women using a mix of community mobilization and information and communication technology). Deciding on which mix of approaches and channels to utilize is something the SBC strategy working group will decide

<sup>&</sup>lt;sup>1</sup> Using different approaches or levels of influence to change behaviour is based on the socio-ecological model, a combination of theories that explain the dynamic process by which not only the immediate physical and social environment, but also broader social, political, economic (structural) factors influence beliefs and attitudes.

based on data about the primary audience, such as the country-specific considerations listed in the box below. Also, consult the resources linked below for guidance on using data to inform these decisions.

Country-specific considerations for selecting strategic approaches:

- Where does the audience spend their time?
- Which channels do they use regularly or turn to in specific situations?
- What does the audience deem to be a credible source of information or advice?
- What is the literacy level of a target audience?
- What level of interaction is desirable for the program?
- Should the communication be one-way or a dialogue?
- What are the behaviour change needs, and which approach or channel is best suited to meet that need, be it to inform and educate, persuade and promote, increase intention to act, impart skills, encourage behaviour change, reinforce behaviour change, or nurture advocacy?
- Which channel will achieve the desired reach or intensity for a given audience and what are the cost implications?
- Is there a good fit between messages being disseminated and the channel selected?
- What are the timing and frequency with which messages should be communicated?

**Resources**: How to Develop a Channel Mix Plan, Activity, Channel, and Material Mix (p.37), Channel Strategy Chart (sample)

**4. Behaviour-specific communication plans:** Each intervention-specific plan should contain behaviour-specific communication plans, which address specific behavioural objectives. A behavioural objective articulates what behaviour must change. Behavioural objectives measure a single behaviour, specify the audience whose behaviour is expected to change. These behaviour objectives should be aligned with monitoring and evaluation (M&E) indicators. For example, a behaviour-specific communication plan supporting case management might include "use of malaria diagnostic test before initiating treatment by care-takers of children under five."

Once behavioural objectives have been selected and prioritized, the data cited in the situation, behavioural, and audience analyses on behavioural determinants should be used to develop communication objectives for each behavioural objective. A communication objective articulates how to change a specific behaviour; in other words, what changes the communication program will affect in individuals and society in order to facilitate a subsequent change in behaviour. <sup>34</sup> Using the example above, if data indicate that there is limited trust in the accuracy of rapid diagnostic tests, the behavioural objective "use of malaria diagnostic test before initiating treatment by care-takers of children under five," might be supported by a communication objective like "increase levels of trust in RDT results at the community level." If data shows that socially normative behaviour is highly valued,

<sup>&</sup>lt;sup>2</sup> For examples of behavioural objectives, see behavioural outcomes in Figure 1 of the RBM Malaria SBCC Indicator Reference Guide: Second Edition.

<sup>&</sup>lt;sup>3</sup> For examples of communication objectives, see intermediate outcomes in Figure 1 of the RBM Malaria SBCC Indicator Reference Guide: Second Edition.

<sup>&</sup>lt;sup>4</sup> Communication objectives attempt to influence the attitudes, beliefs, and motivations the underly, or drive, specific behaviours. Using multiple communication objectives to influence a single behaviour is based on the ideational model. The ideational model explains how exposure to new ways of thinking are diffused throughout communities. The model predicts that behaviour change is the result of multiple, mutually reinforcing behavioural determinants.

the same behavioural objective could be supported by a second communication objective, such as "establish testing before treatment as a social norm." *Remember*: A behaviour objective should describe what must change. Communication objectives support the behavioural objectives by describing how that behaviour must be changed. It is essential these communication objectives be informed by qualitative and/or quantitative data that describe behavioural determinants.

The next section of the communication plan articulates the key benefit and supporting points. These elements help make communication plans more effective because they provide guidance on how to frame prioritized behaviours in terms of what a specific audience cares about, hopes for, aspires to, and/or needs. Key benefits articulate the answer to the question "How will this help me?" Key benefits (sometimes referred to as key promises) can be developed using an "if A, then B" statement. For example, "If you (do this behaviour) then you will (benefit in this way)." These key benefits should be paired with supporting points that describe what will happen as a result of their adoption of a particular behaviour. Supporting points are reasons why an audience should believe promises made in the key benefit statements. These supporting points might be facts, testimonials, celebrity or opinion leader endorsements, comparisons, or guarantees. Effective supporting points will vary according to specific communication objectives and what is appealing and credible to a particular audience.

### 5. SBC plans for sub-national groups in low malaria transmission areas

This strategy template and guidance was developed for malaria endemic countries. This optional section was developed to help National Malaria Control/Elimination Programs engage sub-national groups in low malaria transmission areas<sup>7</sup> in a process that adapts SBC plans to meet their unique needs. This is necessary in countries with low malaria transmission areas that require SBC guidance that the existing strategy does not address. These plans are not meant to replace or duplicate the existing strategy's behavior-specific communication plans. This section of a country's strategy should be reserved for use in areas where there are sub-national groups that have identified SBC needs that are unique to their low-transmission area(s). The process described here should be implemented with each sub-national group that requires it.

It is important to identify groups in low transmission areas that, with guidance and support from the National Malaria Control/Elimination program, have the capacity and authority to develop and implement malaria SBC efforts. These groups might be district-level ministry of health authorities, health providers and health workers serving communities out of an integrated community health center (or group of health centers), malaria elimination councils, local government areas, district development committees, ward environmental health officers, or groups already active in community

<sup>&</sup>lt;sup>5</sup> A number of behaviour change theories and models, including the health belief model and the ideation framework, explain the positive role personal perceived benefit plays in the adoption of certain behaviours.

<sup>&</sup>lt;sup>6</sup> A number of behaviour change theories describe specific ways to change attitudes. One such model, called the elaboration likelihood model, describes how both cognitive and peripheral cues influence attitudes. While cognitive communication relies on logical reasoning, peripheral cues like the credibility of a speaker or positive associations with a message or product can also influence attitudes. Regardless of whether the key benefit of a specific behaviour is framed using cognitive or peripheral cues, research has shown that stating a benefit alone may be insufficient: it is often necessary to call attention to how this benefit applies to an audience's sense of self, personal situation, or desire to belong to a specific group. Effective supporting points often provide these additional cognitive or peripheral cues.

<sup>&</sup>lt;sup>7</sup> For further guidance on malaria SBC for low-transmission areas, see U.S. President's Malaria Initiative 2021 Technical Guidance: <a href="https://dlu4sg1s9ptc4z.cloudfront.net/uploads/2021/03/pmi-technical-guidance-3.pdf">https://dlu4sg1s9ptc4z.cloudfront.net/uploads/2021/03/pmi-technical-guidance-3.pdf</a>

action cycle processes to name just a few examples. These groups should be large enough to address the low transmission needs in their area, but small enough that plans developed are not too general to meet their unique needs. In countries with many areas of low malaria transmission it may be necessary to determine how to group several areas or sub-groups together to reduce the number of sub-national plans to a feasible and manageable number. Examples of ways to group areas or sub-groups might include contiguous administrative units that share similar environments, populations that share similar cultures and/or transportation patterns, or administrative/political or geographic units undergoing similar intervention shifts (see more examples in Step 2.)

#### Step 1: Develop sub-national group orientation to SBC plans

The National Malaria Control/Elimination Program's SBC unit should prepare a brief (1-2 hours maximum) orientation that describes how to complete the rapid SBC needs assessment and subnational low-transmission SBC plan template. Important elements of this orientation include instructions on how to complete a rapid SBC needs assessment and how to complete the template. The National Malaria SBC Strategy Template includes an annex where this section can be filled in.

## Step 2: Deploy sub-national group orientation to SBC plans

While different country's health systems vary in complexity, most rely on some form of cascading training system to orient various health system levels on new guidelines or initiatives. National Malaria Control/Elimination Programs should develop instructions that orient and describe how specific subgroups in low transmission areas should complete an SBC needs assessment and sub-national low-transmission SBC plan template. Include a list of different health system levels and the profiles of participants for each orientation. An example terms of reference for this orientation is also described in this guidance. This orientation should include instructions for how sub-national groups in low transmission settings should answer the following questions:

#### Have malaria interventions changed?

 Introduction of mass drug administration, shift away from IRS implementation, new testing and treatment guidelines, new approaches to case detection, characterization, classification, followup and response, etc.

Are there environmental/situational factors that make SBC in this low transmission area unique?

• Is this a border community that is unique in terms of imported cases and/or higher transmission receptivity? Is transient work to and from higher transmission areas common? Is this area going through political, social, or violent upheaval, etc.? Are there refugee camps? Is it necessary to use different channels of communication, approaches, or SBC activities in these scenarios?

Are there populations in low transmission areas that are particularly affected by malaria?

 Are there minority (linguistically or culturally), transient, difficult to reach, high-risk, or stigmatized populations that require different channels of communication, approaches, or SBC activities to be effectively reached?

Are there behaviors common to people in this low transmission area that differ from behaviors already addressed in the national malaria SBC strategy?

• Is there significant resistance or refusal to use or accept a new or recently changed malaria intervention?

• Are there service provider behaviors not prioritized in the national malaria SBC strategy that appear unique and problematic in this low-transmission area?

Are there behaviors in the existing national malaria SBC strategy that sub-national groups are not confident the strategy's communication objectives will improve?

For example, if the existing strategy includes communication objectives that seek to increase
ITN use by elevating perceived risk of malaria in an area with low transmission, where risk is
actually lower, planners may choose to maintain the focus on ITN use as a key behavior but
choose to influence this behavior with messages that emphasize how severe malaria can be as
acquired immunity to malaria decreases.

The answers to these questions will be found by reviewing available data on malaria rates and demographics to understand which populations are at highest risk of malaria in low-transmission areas, or by reviewing which specific mix of malaria interventions are being implemented in these areas. If available, knowledge, attitudes, and practices survey data may also suggest which low-transmission areas might benefit from a more tailored SBC approach.

# Step 3: Sub-national groups complete rapid SBC assessments and plans and share both with National Malaria Control/Elimination Program

<u>A rapid assessment</u> can be a very brief (several paragraphs at most) summary of SBC needs that are unique to a sub-national group's low transmission area.

Once rapid SBC assessments and SBC plans have been completed by the designated sub-national group(s), it is important these assessments and plans are shared with all necessary authorities (including the National Malaria Control/Elimination Program SBC unit). At this point the National Malaria Control/Elimination Program may decide to discuss whether or not it is feasible and useful to ask sub-national groups to capture data describing activities completed and/or changes in attitudes or behavior (link to low-cost monitoring and evaluation resources). If numerous sub-groups in different low transmission areas develop these SBC plans, it may be beneficial to collect these plans (and any resulting data collected) to look for patterns that may inform guidance in the next national malaria SBC strategy.

## End of overarching guidance

Here ends the guidance on how to use this malaria SBC strategy template. The following pages present example content and scenarios to illustrate how to complete the various sections of the template.

## **Example content and scenarios for completing the template**

#### **Foreword**

The foreword is typically written in first-person and signed by the appropriate authority, often the Minister of Health. The foreword typically includes content such as:

- A brief summary of the purpose of the strategy. It is common to mention how this strategy supports the national malaria strategic plan and how that plan, in turn, is aligned with global or national frameworks for malaria control and SBC.
- A brief description of the general process of developing the document (including a list of partners involved, and the specific guiding principles utilized).
- A justification for the development (or revision) of the malaria SBC strategy. Updated SBC approaches in the strategy might be described in light of previous malaria SBC strategy successes and challenges, new innovations (mobile phone technology, for example), policies (updates to IPTp or ANC, for example), global guidance (Global Technical Strategy and Strategic Framework for Malaria Social and Behaviour Change Communication, for example), or approaches.

# Countries that have conducted an MBS:

Summarize some key behavioural and ideational data points from the MBS report in narrative form for the Foreword section

### **Example foreword section**

Malaria in [insert country] is endemic and the entire population of more than [insert population] is at risk. Children under five and pregnant women are the most affected groups. According to data from a recent [insert data source, survey, or study], outpatient and inpatient deaths due to malaria have [increased/decreased] since [insert year]. Even so, there are still challenges that affect progress.

The [insert country] National Malaria Strategic Plan for [insert date range] addresses the need to scale-up malaria control and prevention activities to build on gains made under the Millennium Development Goals and to continue making progress under the new Sustainable

Development Goals. This new National Malaria Strategic Plan addresses gaps observed in the implementation of the [insert date range] strategy and puts forth a refined strategy dealing with the malaria situation in [insert country] by these target dates.

The objectives and activities set out in this document reflect the priorities and goals of the World Health Organization, the RBM Partnership to End Malaria, and the U.S. President's Malaria Initiative. Best practices and success from other countries in sub-Saharan Africa will also inform the scale-up of future malaria control and prevention measures, from the health facility down to the community level. In addition, a new focus on [insert new innovative approach or focus] will increase broader coverage of health-care delivery in [insert country].

[Insert number] broad strategies make up [insert country's] renewed commitment to malaria prevention and control. The first strategy... [elaborate on each new strategy here].

The purpose of the Malaria SBC Strategy [insert date range] is to contribute to targets laid out in the National Strategic Plan [insert date range] by intensifying social and behaviour change activities at all levels of society. [Insert any recent data findings that justify the approaches detailed in this strategy,

especially any approaches that need to be strengthened, or any new or shifting priorities for SBC in this strategy.]

This edition of [insert country's] National SBC Strategy builds on a wealth of experience and will serve as a guide to a more coordinated strategic approach to malaria communication with the [insert country] people.

[<mark>Signature</mark>]

## **Acknowledgments**

Most acknowledgments sections list those responsible for the development of the malaria SBC strategy. It is common to thank the Ministry of Health and National Malaria Control Program leadership as well as donors and consultants.

## Example acknowledgements section

The [insert country] Ministry of Health through the National Malaria Control Program extends profound thanks and appreciation to [list all contributors, including MOH divisions, national and international NGOs, and all donors] for financial and technical support in developing the National Malaria SBC Strategy. Your support was indeed strategic to the process, a gesture for which we owe you a depth of gratitude.

We also acknowledge the National Malaria Control Program, the National Health Promotion Division of the Ministry of Health, and all partners who will implement this strategy. We entertain the hope that the [insert country] people will enjoy a life free of malaria in the near future.

We recognize the technical leadership of the NMCP manager, [insert name], as well as [insert names]. Government officials and partners who contributed to the final review and edition of this strategy include: [insert names, titles, organizations]

## **Acronyms** (adjust to fit country context)

ACT Artemisinin-based combination therapies

ANC Antenatal care

DHS Demographic and Health Survey

HMIS Health management information system ICT Information and communications technology

IPTp Intermittent preventive treatment of malaria in pregnancy

IRB Institutional review board IRS Indoor residual spraying ITN Insecticide-treated net MBS Malaria Behaviour Survey MDA Mass drug administration

MICS Multiple Indicator Cluster Survey

MIS Malaria Indicator Survey

MOH Ministry of Health

NGO Non-governmental organization
NMEP National Malaria Elimination Program
NMCP National Malaria Control Program
RBM RBM Partnership to End Malaria

NSP National Strategic Plan RDT Rapid diagnostic test

SBC Social and behaviour change

SBCC Social and behaviour change communication

SMC Seasonal malaria chemoprevention

SP Sulfadoxine pyrimethamine WHO World Health Organization

#### Introduction

Many malaria SBC strategies list the mission, vision, overarching goal(s), and objectives of the document in the introduction section. Some examples and guidance are listed below.

*Mission* [taken directly from the National Malaria Strategic Plan, usually an impact indicator]

• Example: By 2020, reduce malaria incidence to 5/1000 and malaria deaths by at least 90 percent compared to 2015 levels.

*Vision* [developed by the NMCP SBC division, usually describes an enabling environment]

• Example: To have a malaria free (country) through empowered communities who have the knowledge, determination, social support, and skills to protect themselves from malaria.

*Objectives* [National Malaria Strategic Plan objective, usually as related to communication]

• Example: Increase the utilization of all malaria interventions to at least 85 percent by 2020.

Supporting SBC objectives [Malaria SBC Strategy objectives, ideally all support the strategic plan objective(s) listed above]

• Example: Increase the proportion of the population sleeping under ITNs to 85 percent by 2020.

## Intervention-specific plans

Influencing structural, social, and individual change may happen as the result of both communication and non-communication-based approaches. The following guidance focuses on communication-based approaches. The intervention-specific plans in this template guide users through a theory and evidence-based approach to influence specific behaviours through communication approaches for a country's malaria technical intervention areas (malaria in pregnancy, malaria case management, indoor residual spraying, seasonal chemoprevention, etc.). Each intervention-specific plan consists of prioritized behaviours and audiences, as well as the communication approaches to be used to influence each identified behaviour. The following is an example of questions to consider for developing an ITN-specific plan.

#### Example ITN-specific plan

#### 1.1 Insecticide-treated nets (considerations for a communication plan)

#### ITN situation and behavioural analysis

**Situation analysis**: List ITN distribution channels, the year of the most recent mass distribution, and any other details that explain ITN access. Compare DHS, MIS, and MICS data on net use to describe seasonal differences in net use (assuming DHS was conducted in the dry season and MIS in the rainy season). List the ITN use:access ratio (UAR) for the country as a whole, as well as the ratios for each region, wealth quintile, and urban and rural area. Use the Observations and Implications for Programming sections of the ITN Access and Use Report to add details about how SBCC can improve use among those with access. Describe changes in access and use over time if multiple comparable data points are available. Be sure to address as many of the following as possible, in narrative form:

- Are people with access to ITNs using them? If so, are there regional, gender, age, or socio-economic disparities?
- Are families prioritizing children under five and pregnant women when there are insufficient ITNs for everyone?
- Are ITNs being used all year-round or seasonally? Are there large numbers of occasional or seasonal ITN users?
- Are ITNs generally well cared for? Are practices like folding nets up during the day and washing gently and infrequently common?
- Do segments of the population who are hard to reach and at-risk have access to and use ITNs (mobile and migrant, or stigmatized populations for example)?
- Is there behavioural data on temporal patterns of use or sleeping (under a net)?
- Where appropriate: Is the population aware of methods for beneficial ITN repurposing?

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 $<sup>^{\</sup>rm 8}$  In equatorial countries with less pronounced rainy and dry seasons this might not be helpful.

**Behavioural analysis**: Summarize knowledge, attitudes, perceived risk and efficacy, and social norm data included in the Malaria Behaviour Survey; knowledge, attitudes, and practice surveys; program reports; or research studies that describe these determinants of ITN use. Describe all that is known about barriers or facilitators to ITN use, including relevant details related to the quality of service delivery (ex: availability and provision of ITNs during antenatal care (ANC)).

#### ITN audience analysis and strategic communication approaches

### Audience analysis:

- Describe any relevant socio-demographic (sex, age, language) and psycho-social characteristics (personality, attitudes, beliefs, values, emotions) of primary audiences.
- Describe any relevant socio-demographic and psycho-social characteristics of audiences who influence the primary audience (secondary and tertiary audiences).
- Describe what is known about gender dynamics and how household or couple's decision-making takes place.
  - Who makes household decisions about ITN use? Who influences these decisionmakers? Where do decision-makers get their information? Who do they trust?
  - Who makes household decisions about ANC attendance (where pregnant women might receive an ITN)?
  - How old are young women when they first give birth? Are there barriers or facilitators to ITN use among this vulnerable group?
    - Many pregnant women with malaria in pregnancy contract malaria before their first ANC appointment, where they begin to benefit from prevention with IPTp or might receive a free ITN.

Gender information is especially important, as it may influence an individual's ability to access a given intervention. Consider, for instance, that many young women in West Africa are limited in their ability to leave their home without explicit permission, permission that might require disclosing pregnancy (something many young women postpone as long as possible). This makes ANC attendance, and the ITN that is acquired during that visit, more difficult to obtain.

#### Strategic communication approaches:

- Describe different means of communicating with target audiences. Examine ways
  to influence a target, secondary, and tertiary audience from an individual, social,
  and structural level. Examples of activities that fall beneath each of these
  approaches include:
  - Structural approaches: beneficial legislation or policies, multi-sectoral engagement, political and civil-society engagement, resource mobilization, media collaboration, etc.
  - Social approaches: creation or reinforcement of positive normative behaviour within families and communities by working through social networks, using participatory social mobilization, working with influential

- national or local personalities, or by normalizing behaviour in mass and social media.
- Interpersonal approaches: face-to-face interpersonal communication by community health workers, service providers or school-teachers, facilitation of spousal communication, encouraging influential (local) political, traditional, and religious leaders to promote desired behaviours.

Use the following questions when considering which strategic communication approaches to use.

- Which approaches are best deployed to raise awareness during the household registration phase of a mass distribution? In the lead-up to distribution? After the distribution? In the dry vs. rainy season(s)?
- Which approaches are most likely to stimulate conversation between spouses about ITN use?
- Is it appropriate to use different approaches and channels to promote ITN use during mass campaigns than when promoting ITN use among pregnant women who received nets at ANC?
- Is it appropriate to use different approaches and channels in rural versus urban areas?
- Are there times when it might not be appropriate to spend money (or reduce spending) on ITN promotion (3-4 years after a mass distribution, when access may be very low, for example)?
- Are different approaches more appropriate for those living in households with airconditioning and screens versus those living in households with open eaves and windows?

(Where appropriate) **Considerations for areas of low, very low, and zero transmission**: <sup>9</sup> Which audiences and strategic approaches are most likely to help maintain ITN use even as transmission is reduced and perceived risk falls?

#### Behaviour-specific communication plans

#### ITN Behavioural Objective 1

Behavioural
Objective:
Increase the
proportion
of [insert
audience]
who [insert
behaviour]
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**Priority Audience**: This is the most important audience – the group whose behaviour the behavioural objective will measure. Priority audiences should be determined by reviewing demographic data and balancing the principle of doing the most good for the greatest possible number of people – while being specific enough to avoid audiences that are not likely (or are resistant) to change behaviour, cannot be reached, etc.

**Secondary Audience**: The people who are most likely to influence the most important audience. These are individuals or groups that a program

<sup>&</sup>lt;sup>9</sup> Low transmission: annual parasite incidence of 100-250 or more cases per 10,000 and *P. falciparum* rate of 1-10%; very low transmission: annual parasite incidence of less than 100 cases per 1,000 and *P. falciparum* or *vivax* rate of greater than 0 but less than 1%; zero transmission: annual parasite incidence of 0 (indigenous cases). World Health Organization. A framework for malaria elimination. Geneva. 2017.

<sup>&</sup>lt;sup>10</sup> Behavioural objectives measure a single behaviour and specify the audience whose behaviour is expected to change. These should align with M&E indicators.

will work with to help support the priority audience to change their behaviour.

**Communication Objective 1**: These will describe expected changes in self-efficacy, social norms, perceived risk, perceived severity, etc. These communication objectives <sup>11</sup> should be chosen based on data on behavioural determinants (MBS, KAP study, etc.)

**Communication Objective 2**: List as many communication objectives to support this behaviour objective as appropriate.

**Key Benefit**: If I do [insert behaviour] then I will experience [insert benefit].

**Supporting Points**: Supporting points should describe why the audience should believe this promise, how do-able it is (free service, saves money, decreases school absenteeism, etc.), and/or how desirable it is (community respect, approval of leaders, etc.). These supporting points are often implemented in SBC activities as testimonials, facts, comparisons, or demonstrations.

## **Monitoring and Evaluation**

Develop a monitoring and evaluation plan that details the numerator and denominator for each indicator, as well as the justification for the selection of each indicator.

While program outputs (number of materials produced, number of people reached, number of SBC activities carried out, number of people trained in SBC for malaria) are important, these will not provide information on the effect of SBC activities. It is optional, but not necessary, to include program outputs in the monitoring and evaluation section of the malaria SBC strategy, especially if program output data will be captured elsewhere (ex: a partner's implementation plan).

#### **Communication and Behavioural Indicators**

The <u>Malaria SBCC Indicator Reference Guide</u> and <u>Developing Monitoring and Evaluation Plan for SBC: A Step-By-Step</u> provide a set of priority indicators and guidance on data sources, use, and interpretation. Some of these indicators are listed below.

Priority communication indicators include:

- Recall: Proportion of people who recall hearing or seeing any malaria message within the last six months (reported by each specific message)
- Recall: Proportion of people who recall hearing or seeing any malaria message within the last six months (reported by each specific communication channel)
- Knowledge: Proportion of people who know the cause, main symptom, treatment, and preventive measures for malaria

<sup>&</sup>lt;sup>11</sup> Communication objectives attempt to influence the attitudes, beliefs, and motivations the underly, or drive, specific behaviours. Using multiple communication objectives to influence a single behaviour is based on the ideational model. The ideational model explains how exposure to new ways of thinking are diffused throughout communities. The model predicts that behaviour change is the result of multiple, mutually reinforcing behavioural determinants.

- Risk and efficacy: Proportion of people who perceive they are at risk of malaria, who perceive the consequences of malaria are serious
- Response-efficacy: Proportion of people who believe that a recommended practice or product will reduce their risk
- Self-efficacy: Proportion of people who are confident in their ability to perform a specific malaria-related behaviour
- Norms: Proportion of people who believe the majority of their friends and community members currently practice the behaviour
- Attitudes: Proportion of people with a favorable attitude toward the product, practice, or service

### Priority behavioural indicators include:

• Proportion of people who practice the recommended behaviour

It is important to include only those communication and behavioural indicators for which there are resources to measure. This requires the group developing this strategy to have an idea of the current and upcoming sources of monitoring and evaluation data on SBC indicators in their country, to ensure that there exist resources to measure the selected indicators. A monitoring and evaluation plan should be developed with the NMCP, donors, and implementing partners as a consultative, participatory process where indicators are selected and prioritized according to urgency and available resources to measure each.

## Example monitoring and evaluation plan

The following chart illustrates an excerpt of what a monitoring and evaluation plan can look like using three ITN objectives as an example. Types of objectives might be behavioural objectives, communication objectives, audience monitoring objectives, or program output objectives.

ITN	Indicator and Definition	Indicator Type	Rationale	Data	Baseline	Target	Year
Objectives				Source			
Increase the	Indicator: use to access ratio	Behavioural	The SBCC program	MIS, MBS,	Date: 2015	Date: 2020	Y5
correct and	Interpretation: Of the population with access to	indicator	seeks to increase	DHS	Value: 0.23 (dry	Value:	
consistent use	a net, what percentage of them are using it?		net use among		season 2015 DHS)	0.80 during both	
of ITNs to	Numerator: Percentage of the population who		people who have		0.76 (rainy season,	dry and rainy	
85% by 2020.	slept under a net the previous night		access to one.		2014 MIS)	seasons	
	Denominator: Percentage of the population						
	with access to a net						
Increase recall	Indicator: Proportion of people who recall	Audience	The NMCP	Omnibus	Date: 2015,	Date: 2020	Y1-Y5
of ITN use	hearing or seeing a message about ITN use in	monitoring	recognizes that	survey,	2016,2017,2018,201	Value:	
messages	the last six months	indicator	higher rates of	rapid	9,2020		
	Interpretation: Measure of the reach and		message recall are	survey,	Value:		
	penetration of ITN use messages in a target		an indicator of	exit			
	audience.		effective	interviews			
	Numerator: Number of respondents who recall		messaging	from			
	hearing or seeing any malaria message in the			health			
	last six months			facility			
	Denominator: Number of respondents surveyed			visit			
Increase the	Indicator: Proportion of pregnant women who	Communicatio	Perceived risk is	MBS, KAP	Date: 2015, 2020	Date: 2020	Y5
proportion of	perceive they are at risk from malaria in	n indicator	often an important		Value:	Value:	
pregnant	pregnancy		determinant of				
women who	Interpretation: Risk often predicts future		behaviour				
perceive they	intentions, which, in turn, often predict						
are at risk of	behaviour.						
malaria	Numerator: Number of respondents who						
	perceive they are at risk of malaria in pregnancy						
	(people with a mean score of greater than zero)						
	Denominator: Number of respondents surveyed						

# Annex: SBC plans for development by sub-national groups in low malaria transmission areas

As described in the <u>guidance above</u>, the purpose of this section is to help National Malaria Control/Elimination Programs engage sub-national groups in low malaria transmission areas in a process that adapts SBC plans to meet their unique needs. This section of a country's strategy should be reserved for use in areas where there are sub-national groups that have identified SBC needs that are unique to their low-transmission area(s). When writing a national malaria SBC strategy, many countries may have specific areas or pockets of low malaria transmission that may require distinct and tailored approaches to SBC as compared to the rest of the country. In most cases, given their familiarity with the specific context, sub-national groups will be best positioned to conceptualize and write a tailored SBC plan for those areas, that is harmonized with the rest of the national strategy.

This Annex provides examples to guide sub-national groups through the process of developing SBC plans for low-transmission areas. <sup>12</sup> These plans are not meant to replace or duplicate the existing strategy's behavior-specific communication plans. This template should be reserved for use in areas where there are sub-national groups that have identified SBC needs that are unique to their low-transmission area(s) as compared to the rest of the country. <sup>13</sup> Use these examples and the guidance described above to fill in the new low-transmission zone SBC annex in the RBM National Malaria SBC Strategy template.

#### **Example rapid SBC needs assessment**

Malaria intervention changes: In 2012, all seven of Zandara's (fictional, example country) health districts were reoriented to focus on malaria elimination (low and very low malaria transmission). IRS spraying took place in all seven of these districts until 2015, when spraying was reduced to focus solely on rural wards in the two health districts with the highest local transmission (Topo and Gahel districts). Recently, all seven districts have been reclassified as low transmission areas. At the same time, evidence emerged that resistance to all four IRS chemicals being rotated in these areas was increasing. The National Malaria Elimination Program (NMEP) is currently working with provincial development committees to increase ITN use in areas previously heavily reliant on IRS spraying. Recently relaunched ministerial meetings are focusing on cross-border elimination efforts and there is pressure to demonstrate how SBC can achieve and maintain high use of ITNs. Elimination-specific diagnosis and treatment guidelines such as use of primaquine with ACTs and deployment of rapid case investigation began rolling out in 2020.

<u>Necessary SBC adaptation</u>: Continuous ITN distribution is new to wards in Zandara, necessitating a focus on establishing trust in their efficacy to prevent malaria. Decreasing acquired immunity increases

<sup>&</sup>lt;sup>12</sup> While this section has been developed specifically for sub-national groups in low-transmission areas, it is possible this tool would prove useful for groups in areas of very-low transmission as well.

Areas of low transmission have an annual parasite incidence of 100–250 cases per 1000 population and a prevalence of P. falciparum/P. vivax of 1–10%. World Health Organization: A Framework for malaria elimination. https://apps.who.int/iris/handle/10665/254761

the severity of malaria cases, requiring SBC efforts to shift from targeting pregnant women and children under five to include heads of household and travelers (to and from areas of higher transmission). Broadcasts on community radio stations must replace national TV and radio stations in order to limit this messaging and emphasis to only low transmission provinces/districts/wards. Quarterly advocacy meetings with provincial development committees will be supplemented by meetings with select district development committees to ensure buy-in and adherence to new and shifting interventions. The NMCP has chosen to roll out a campaign that pivots from focusing on maintaining high acceptance of IRS as a social norm to emphasizing positive attitudes about new ITNs and increasing a sense of perceived severity about malaria, particularly among influential early adopters.

#### Sub-national group low-transmission area-specific SBC plan template

Once the narrative paragraphs of the rapid SBC assessment have been written, it is time to articulate how to address each necessary issue described. List only one behavior per table and avoid prioritizing more than three or four behaviors (this may vary depending on a sub-national group's size, cadre, or health structure level, but should be kept streamlined to ensure feasibility and focus).

#### Example behaviour plan (1)

Behaviour objective:				
Increase the proportion				
of travelers who sleep				
under an ITN every night				
on trips outside the				
home				

Audience: Travelers (to and from higher transmission areas)

Communication Objective no.1: Increase the proportion of [travelers] who [believe that using an ITN when travelling protects their family].

Communication Objective no.2: Increase the proportion of [travelers] who [believe that their risk of getting malaria is higher when traveling to areas where transmission is higher].

Key Benefit: If I [use an ITN when sleeping outside my home] than [my family will know I love and protect them].

#### **Channels/Activities**

<u>Channel (Community radio)</u>: Broadcast radio spots encouraging travelers to use ITNs while sleeping outside the home.

<u>Channel (Billboard)</u>: Determine exit point (road/highway) used most by ward travelers and use billboards (facing a traveler's destination, to reach them as they leave) to encourage use of ITNs while sleeping outside the home.

<u>Channel (Poster)</u>: Determine popular bus routes exiting ward and display posters encouraging travelers to use ITNs while sleeping outside the home next to ticket windows.

Advocacy (private sector engagement): Work with private sector transport companies to print ITN encouragement messages on the back of outgoing bus/train/transport tickets.

#### Example behaviour plan (2)

#### Behaviour Objective:

Increase the proportion of district health facility directors who encourage appropriate prescription of low-dose primaquine with ACT

Audience: District health facility directors

**Communication Objective**: Increase the proportion of [district health facility directors] who [believe low-dose primaquine combined with ACT is an effective treatment].

**Key Benefit**: If I [prescribe low-dose primaquine with ACT as directed] than I will [earn the respect of my clients and co-workers].

#### **Channels/Activities**

<u>Channel (Advocacy visit/call)</u>: Ensure the presence of at least one director in each health district is present at the next quarterly provincial committee meeting where this new directive is explained.

Activity (High-level meeting): Present the recently developed "making the case" malaria elimination brief prepared by the NMCP SBC unit to elicit questions and feedback.

## Example behaviour plan (3)

#### Behaviour objective:

Increase the proportion of health workers who investigate at least 90% of reported malaria cases within three days Audience: Malaria case investigators

Communication Objective: Increase the proportion of [malaria case investigators] who [believe that reporting malaria cases quickly will prevent outbreaks].

Key Benefit: If I [investigate each and every case of malaria within three days] than [public recognition from my supervisors will elevate my status at work and in the community].

#### **Channels/Activities**

Advocacy: Obtain district health facility director commitments to publicly recognize malaria case investigators who achieve a 90% three-day reporting average.

Activity (Community mobilization): Organize ward-level meetings where community leaders recognize malaria case investigators and explain the important role they play in the transition to malaria elimination.

#### Example terms of reference for the orientation to sub-national groups

The National Malaria Control/Elimination Program's SBC unit should prepare a brief (1-2 hours maximum) orientation that describes how to complete the rapid SBC needs assessment and subnational low-transmission SBC plan template. The template below can be used to develop the terms of reference for an orientation for sub-national groups to prepare them for developing localized low transmission SBC plans.

#### Introduction

Provide a brief rationale for the use of SBC activities to support your country's malaria prevention and control efforts. Describe the need for low-transmission zone-specific SBC plans, their duration (period of performance), and the partners expected to be involved. Specify who from which groups will be responsible for the development and finalization of the plan(s).

#### **Orientation purpose**

The purpose of the SBC orientation for low transmission zones is two-fold: to establish buy-in at [sub-national level(s)] and to develop SBC plans that address the low malaria transmission-specific needs of [sub-national group(s)]. Participant profiles for the SBC orientation for low transmission zones include: [list central and sub-national groups that should be implicated].

## **Orientation objectives**

The output of the SBC orientation for low transmission zones is a <u>sub-national SBC plan (or plans</u>) that describes which malaria prevention and control behaviours to prioritize in low transmission zones, and how to influence those behaviours. Assuming resources are sufficient, which behaviours should the strategy focus on? Which should be prioritized when resources are limited?

#### **Expected results**

[Sub-regional] SBC plans for low transmission zones support the National Malaria Control Program's SBC strategy and the overarching National Malaria Strategic Plan. These plans are not meant to be duplicative, if existing malaria SBC strategy priorities and approaches are deemed likely to succeed in a low transmission zone new plans should not be developed. These [sub-national group] plans are expected to help areas in low transmission zones make efficient use of existing resources and personnel by addressing locally identified needs. Limiting a plan to two or three priority behaviours will keep efforts and activities focused. To the extent a plan's challenges and successes are documented and shared with the National Malaria Control Program, future priorities, focus, approaches, and strategic guidance will improve.

#### Logistics

Insert information on logistics, for example:

- Dates and locations of orientation
- Facilitating organization/structure
- Conditions of work (per diem, lodging, travel, commitment to attend/participate etc.)

